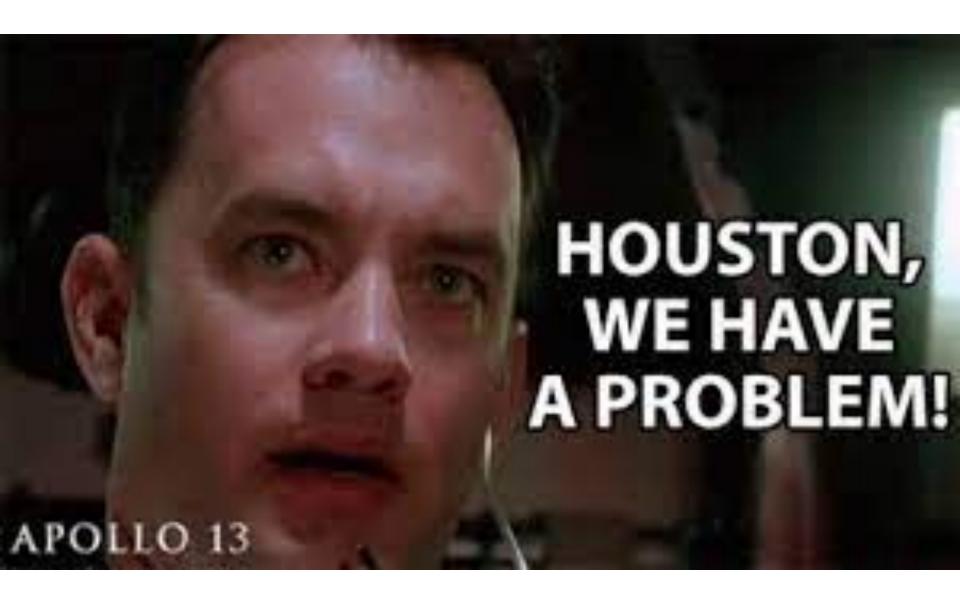
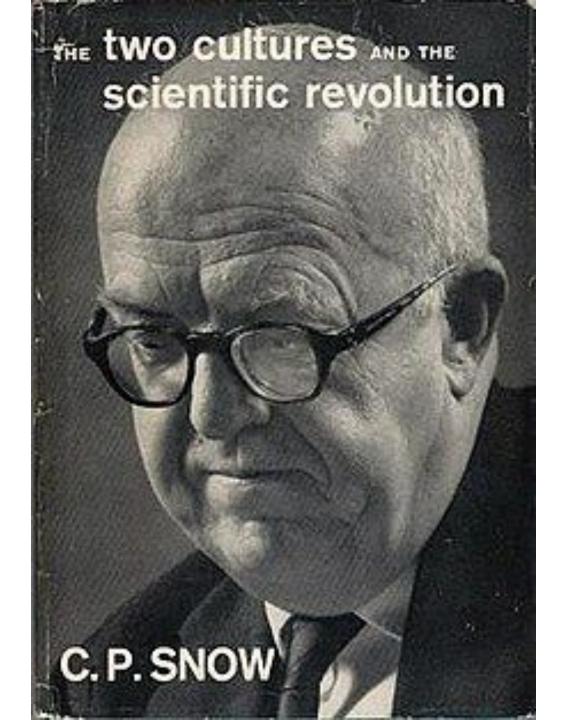
Open Access delle pubblicazioni e dei dati della ricerca

Giovanni Destro Bisol Dipartimento di Biologia Ambientale

giovanni.destrobisol@uniroma1.it







1. Open Science

2. Open Access ...a case-study

3. Open data ...beyond data sharing

storia, archeologia, psicologia, sociologia, filosofia, linguistica, letteratura

- 1. Open Science... Open Humanities
- 2. Open Access ...a case-study... implications

3. Open data ...only for science?

Science

an organized systematic enterprise that gathers knowledge about the world and aims to know and understand the natural and social world through five pillars:

- 1. repeatibility
- 2. mensuration
- 3. economy
- 4. heuristics
- 5. **consilience**, the interlocking of causal explanations across disciplines."

Humanities

Branches of **knowledge** that concern themselves with **human beings** and their culture or with analytic and critical methods of inquiry derived from an appreciation of human values and of the unique ability of the human spirit to express itself.

What is open(ness) in Science?

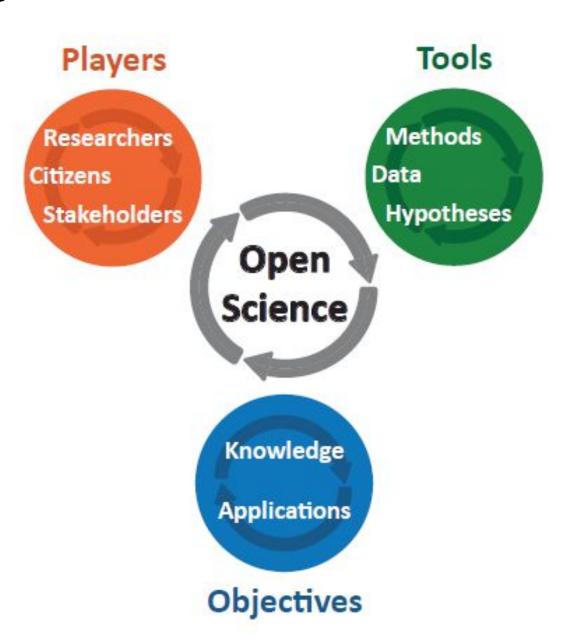
The significance of openness goes beyond its immediate meaning of free accessibility of concepts, methods, and data produced by research activities, assuming another implication: making the information shared to an extent that allows others to reproduce a study or experiment in its entirety, what we call **transparency**. While this should be implicit in scientific practices, it is not always implemented for a <u>variety of reasons</u>, making the **research cycle** closed to efficient scrutiny in many cases.

What is open(ness) in Humanities?

Are there **other meaning of openness** we can move from humanities to Science?

What is Open Science

mutual exchange cooperation (human values)



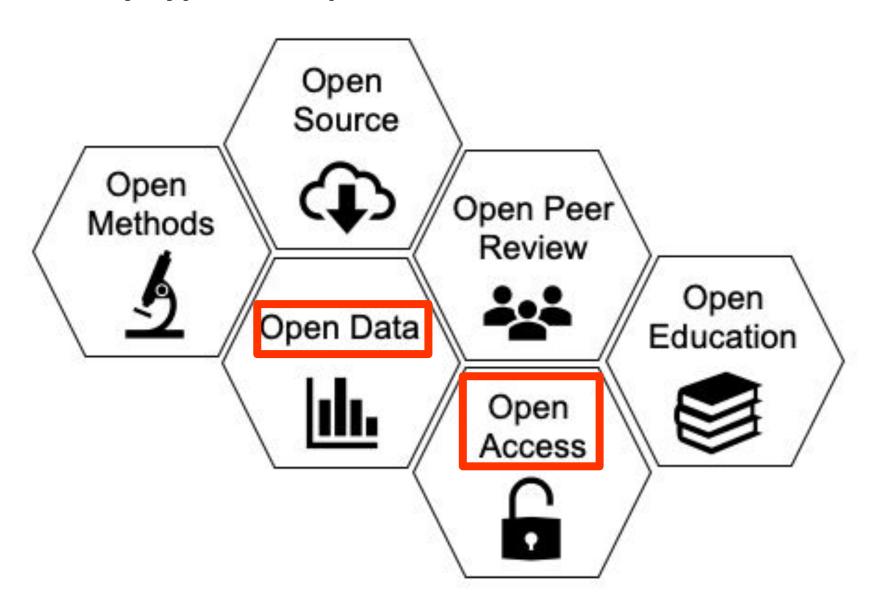


Open Science benefits

- Increase research efficiency
- Promote scholarly rigour and enhances research quality
- Enhances visibility and engagement
- Enables the creation of new research questions
- Enhances collaboration and community building

but, are there cons?

How many types of Open Science?



1. Open Science... Open Humanities

2. Open Access ...a case-study

3. Open data ...in humanities too?

Open access to scientific literature and the COVID-19 pandemic

Giovanni Destro Bisol

Paolo Anagnostou

Marco Capocasa

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Capocasa et al. Information Research, in press

https://www.medrxiv.org/content/10.1101/2020.07.23.20160481v1

Despite all this...



WORLD VIEW A personal take on events



Open your minds and share your results

An open approach is the best way to maximize the benefits of research for both scientists and the public, says Geoffrey Boulton.



Open Knowledge Foundation

A fair, free and open future.





Visibility

Increased Citation Rates



Drives Innovation



Public Access





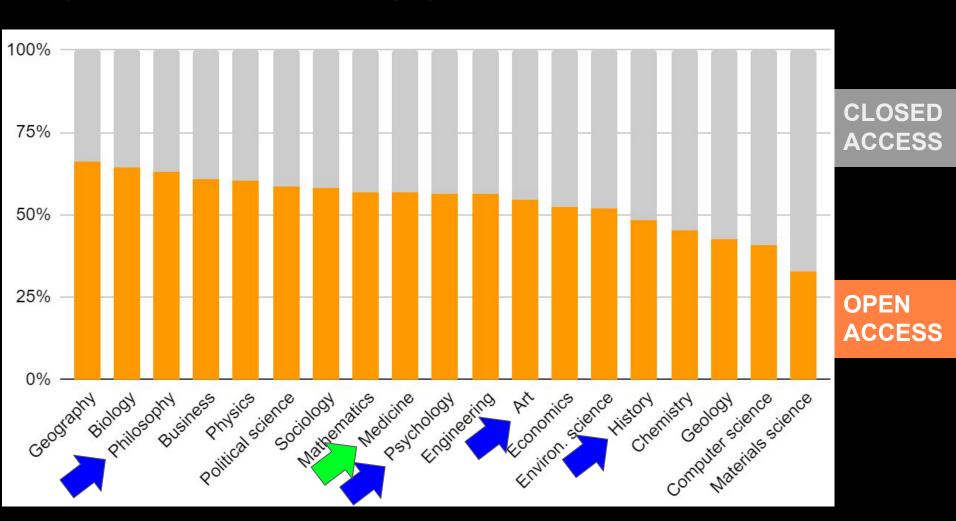
Comply with **Funder Policies**

HORIZON 2020 OPEN ACCESS TO SCIENTIFIC PUBLICATIONS

SCOAP³ – Sponsoring Consortium for Open Access Publishing in Particle Physics Sponsoring Consortium for Open Access Publishing in Particle Physics

We stand here....

Open Access rates, 2019 papers



Curtin Open Knowledge Initiative (2020). Courtesy of Cameron Neylon, 2020.

... a good news

- Web of Science database
- Core collection, acces. July 9th, 2020
 13,655 peer-reviewed papers published from January 1 to July 1, 2020 → almost 10X of all papers concerning four recent viral outbreaks
- 95% of OA papers published in journals with a first quartile Impact Factor



[88.3% - 93.5%]

What does this mean?

OK, numerous Journals have made research work on COVID-19 openly accessible

but...

Achieving much greater open access to health information is possible

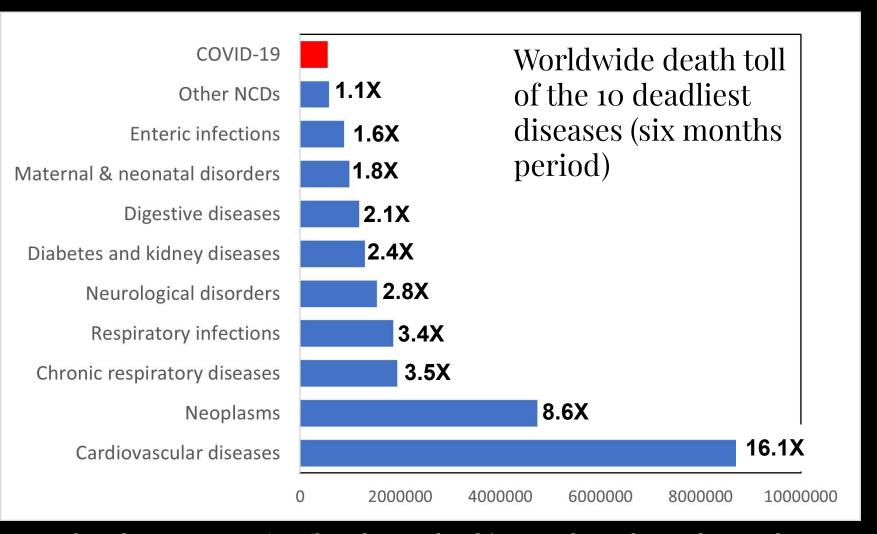
Principle: Scientific knowledge on high impact human diseases should be shared freely and promptly.

So, the next questions...

- How does COVID-19 compare to other diseases?
- How to get closer to COVID-19 OA rates?

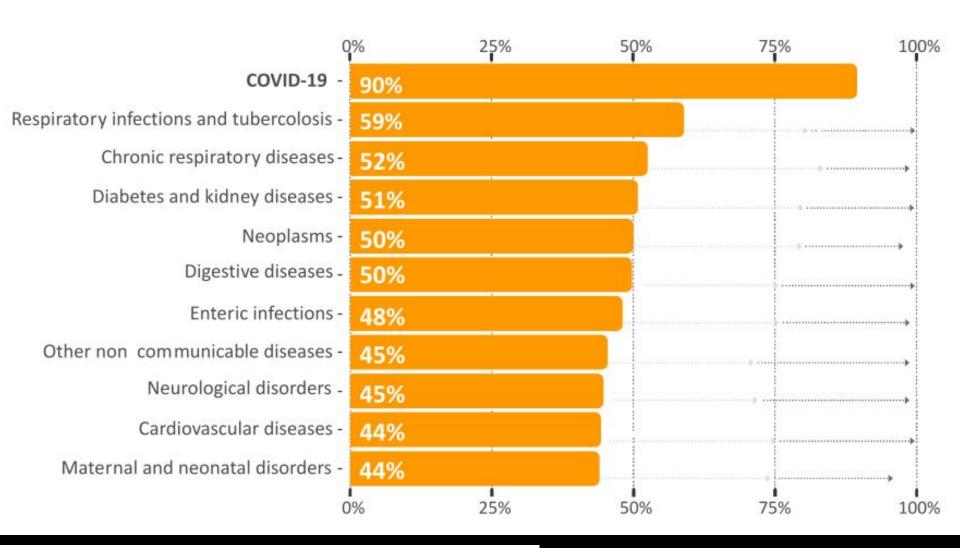


Q1 - How does COVID-19 compare to other diseases?



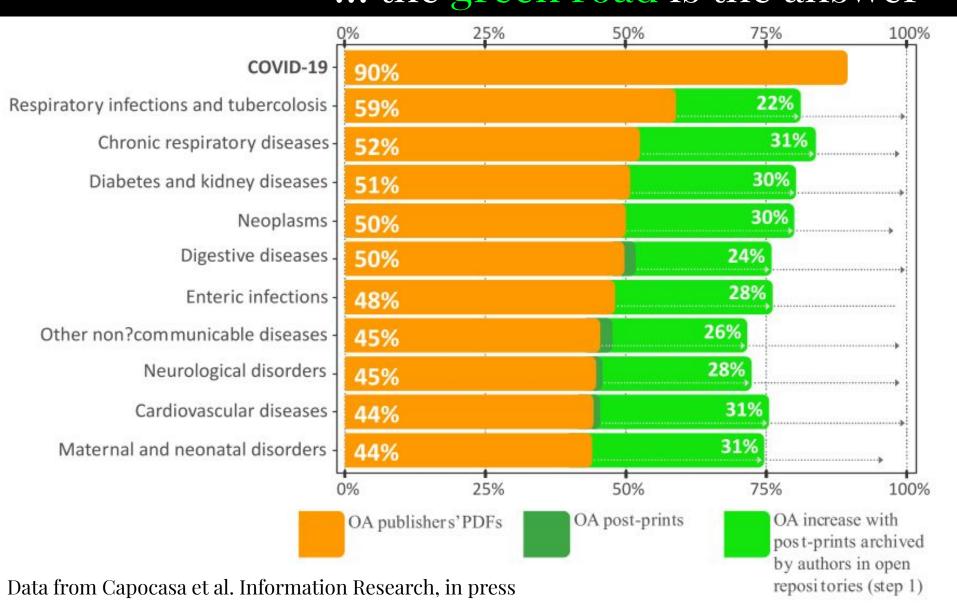
Data from https://coronavirus.jhu.edu/map.html (accessed on July 9 and December 21, 00:00 GMT) and GBD 2017 Causes of Death Collaborators (Lancet, 2018)

Q1 - How does COVID-19 compare to other diseases?



Data from Capocasa et al. Information Research, in press

Q2 How to get closer to COVID-19 OA rates? ... the green road is the answer



How to make all this more than a wish?

	Step 1	Step 2	Step 3
Level	Individual	Institutions and associations individually	Institutions, associations and some stakeholders, individually or, better, in partnership
Action	Authors archive post-prints online whenever publishers' rules allow it.	Any academic, research and health center, scientific and professional association, and funding agency incentivize the open archiving of post-prints.	Academic, research and health centers, scientific professional and patient associations undertake to make publishers remove restrictions to online post-print archiving.
Time needed	Shorter: can be realized immediately after acceptance of the paper, depending on the authors' willingness.	Intermediate: requires institutional governance deliberation and action	Longer : requires creating synergies and conducting negotiations.
Estimated effects	Increase up to 28.3% in OA rates		Increase up to 21.1% in OA rates

Takeaways

- 1. By using the green road we could close a substantial portion of the gap in OA between the 10 deadliest diseases and COVID-19.
- 2. Scientific institutions and associations should more effectively encourage the dissemination of post-print through available online tools.

...and also

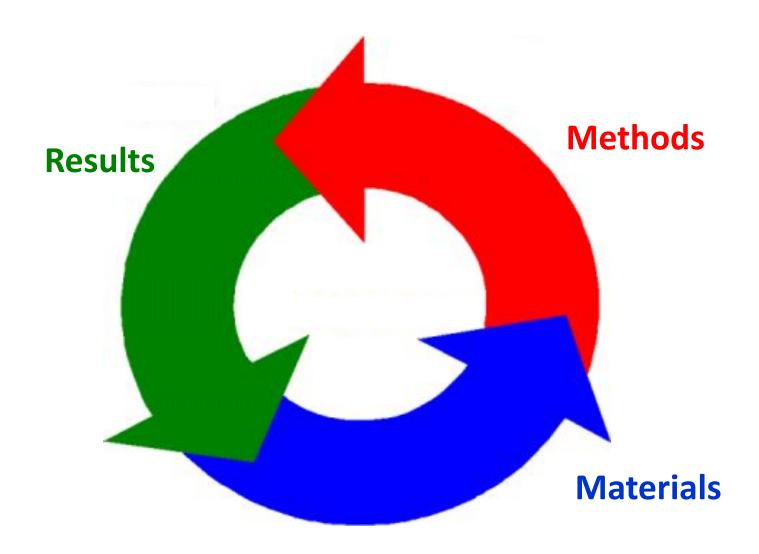
3. Don't let transformative agreements overshadow the green road to Open Access

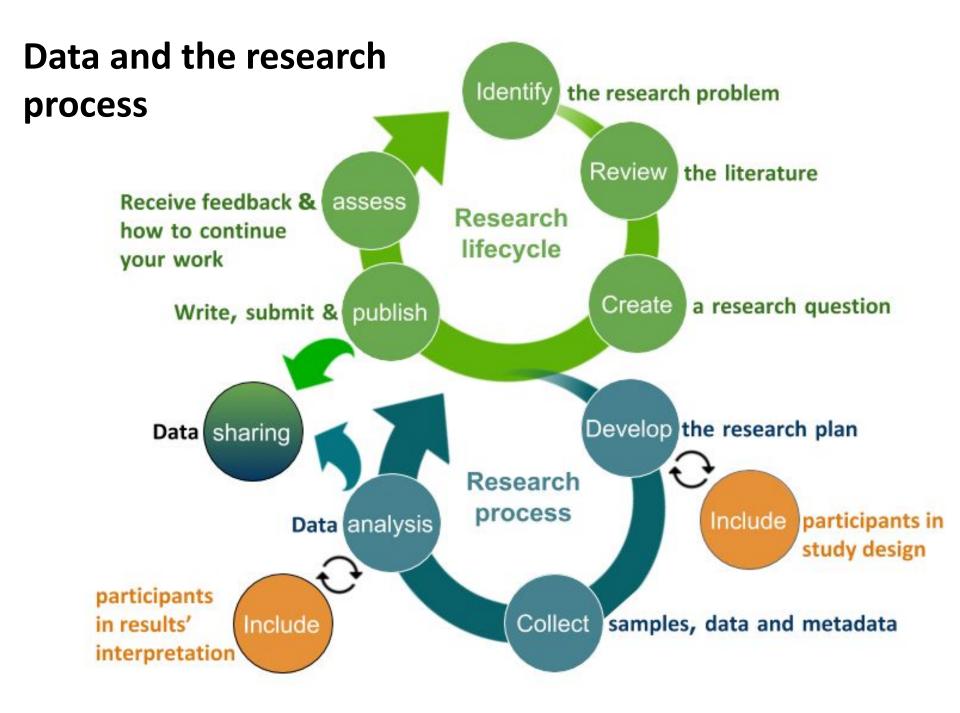
1. Open Science

2. Open Access ...a case-study

3. Open data ...beyond data sharing

Open data?

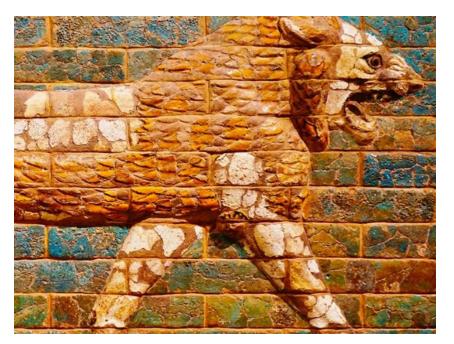




Data are numbers, characters or **images** that designate an attribute of a phenomenon. They become information when they are combined together in ways that have the potential to reveal patterns in the phenomenon. Information yields knowledge when it supports non-trivial, true claims about a phenomenon.



Open data in humanities studies



Open data access in humanities studies can be very valuable for both researchers and the broader public. For example, researchers at the University of Oxford studying Assyriology use open data of pictures to study the language and signs on different art pieces. Researchers do not have to visit a collection in person because they now have access to a collection online, as open data.

About this Journal

The Journal of Open Humanities Data (JOHD) aims to be a key part of a thriving community of scholars sharing humanities data. The journal features peer reviewed publications describing humanities research objects or techniques with high potential for reuse. Humanities subjects of interest to JOHD include, but are not limited to Art History, Classics, History, Linguistics, Literature, Modern Languages, Music and musicology, Philosophy, Religious Studies, etc. Submissions that cross one or more of these traditional disciplines are particularly encouraged.







Data shared and preserved across borders and disciplines

Beyond data sharing

Intelligent Openness assessability

accessibility useability

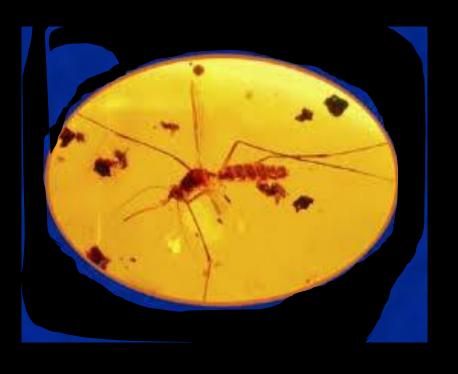
intelligibility

*

Science as an open enterprise

assessability

the data or information's reliability can be evaluated. ... the results of scientific work must be intelligible to those wishing to scrutinise them.*





When Data Sharing Gets Close to 100%: What Human Paleogenetics Can Teach the Open Science Movement

Paolo Anagnostou^{1,2}*, Marco Capocasa^{2,3}, Nicola Milia⁴, Emanuele Sanna⁴, Cinzia Battaggia¹, Daniela Luzi⁵, Giovanni Destro Bisol^{1,2}*



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Citation: Anagnostou P, Capocasa M, Milla N, Sanna E, Battaggia C, Luzi D, et al. (2015) Whan Data Sharing Gets Close to 100%: What Human Paleogenetics Can Teach the Open Science Movement: PLoS ONE 10(3): e0121409. doi:10.1371/ journal.pone.0121409

Academic Editor: John Hawks, University of Wisconsin, UNITED STATES

Received: August 1, 2014

Accepted: February 2, 2015

Published: March 23, 2015

Copyright: © 2015 Anagnostou et al. This is an open access article distributed under the terms of the <u>Creative Commons Attribution License</u>, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are oracted.

Data Availability Statement: All relevant data are currently provided as Supporting Information files <u>51</u> <u>Table</u> and <u>53 Table</u>. Data have also been deposited into Zenodo (<u>Dataset</u>, <u>51</u> 10.5281(conodo, 14804), <u>Dataset</u>, <u>52</u> 10.5281(canodo, 14805).

Funding: This work was supported by the Ministero dell'Istruzione, dell'Università e della Ricerca (PRIN 2009-2011, prot.n. 2009/TSTSEW/http://www.istrucione.ll/ and the Istfuto Istiliano di Antropologia http://www.istru-org.com/. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Abstract

This study analyzes data sharing regarding mitochondrial, Y chromosomal and autosomal polymorphisms in a total of 162 papers on ancient human DNA published between 1988 and 2013. The estimated sharing rate was not far from totality (97.6% ± 2.1%) and substantially higher than observed in other fields of genetic research (evolutionary, medical and forensic genetics). Both a questionnaire-based survey and the examination of Journals' editorial policies suggest that this high sharing rate cannot be simply explained by the need to comply with stakeholders requests. Most data were made available through body text. but the use of primary databases increased in coincidence with the introduction of complete mitochondrial and next-generation sequencing methods. Our study highlights three important aspects. First, our results imply that researchers' awareness of the importance of openness and transparency for scientific progress may complement stakeholders' policies in achieving very high sharing rates. Second, widespread data sharing does not necessarily coincide with a prevalent use of practices which maximize data findability, accessibility, useability and preservation. A detailed look at the different ways in which data are released can be very useful to detect failures to adopt the best sharing modalities and understand how to correct them. Third and finally, the case of human paleogenetics tells us that a widespread awareness of the importance of Open Science may be important to build reliable scientific practices even in the presence of complex experimental challenges.

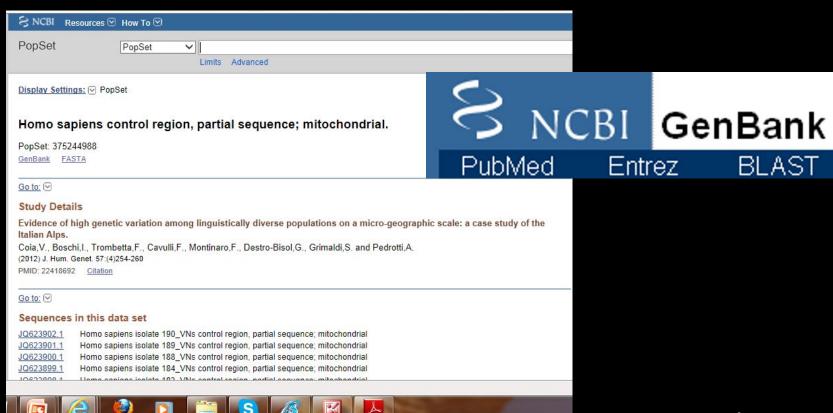
Introduction

Making research data openly accessible to the scientific community is one of the main priorities for the global research system. In fact, there is wide consensus that data sharing may help scientific progress allowing a better exploitation of data and an optimized use of resources in a climate of scientific openness and transparency [1–3]. However, there are also considerable barriers to

https://journals.plos.org/plosone/article?id=10.1371/journal.pone.01214

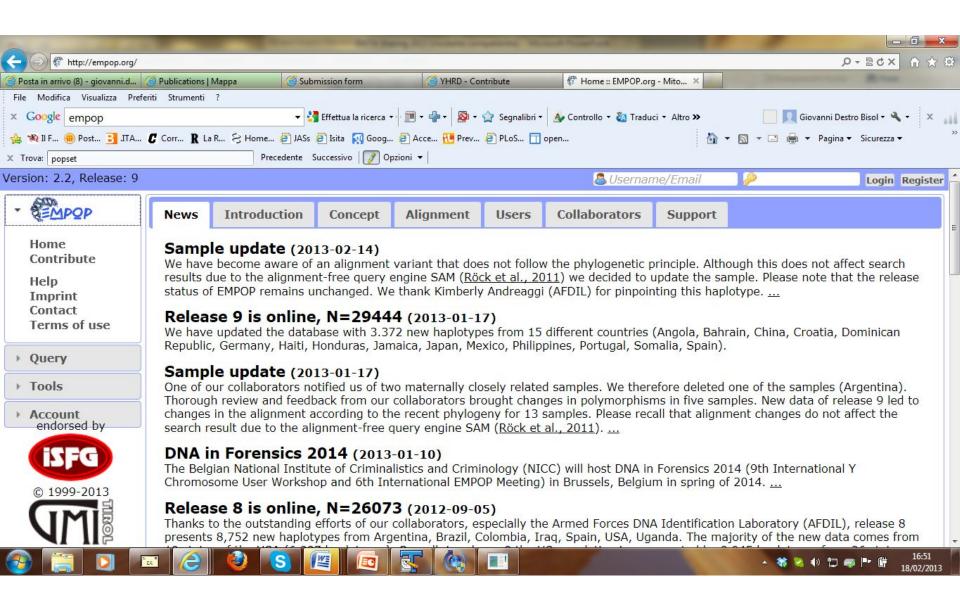
accessibility

Data must be located in such a manner that it can readily be found and in a form that can be used.*



- seminars, oral and poster presentations, informal discussions
- Publications (electronic supplements)
- Online Database





useability

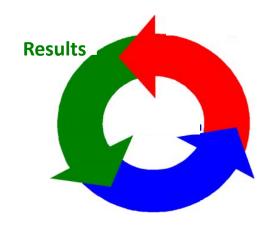
in a format where others can use the data or information.......proper background information and metadata.

genom & C STANDARDS consortium

The Genomic Standards Consortium (GSC) is an open-membership working body formed in September 2005. The goal of this International community is to promote mechanisms that standardize the description of genomes and the exchange and integration of genomic data.

What to share?

Data and results



Metadata

structured information that describes, explains, locates, or otherwise makes it easier to retrieve, use, or manage an information resource

- WHO created the data?
- WHAT is the content of the data?
- WHEN were the data created?
- WHERE is it geographically?
- HOW were the data developed?
- WHY were the data developed?

POPULATION METADATA

- * Name (Population/ethnic group/tribe) Fare clic qui per immettere testo.
- * Continent
- * Region Fare clic qui per immettere testo.
- * Nation Fare clic qui per immettere testo.
- * Sampling location (Name, latitude, longitude) Fare clic qui per immettere testo.

Language (Ethnologue classification) Fare clic qui per immettere testo.

Marital behaviour Fare clic qui per immettere testo.

Other features Fare clic qui per immettere testo.

Other features Fare clic qui per immettere testo.

Other features Fare clic qui per immettere testo.

Comments

INDIVIDUAL METADATA

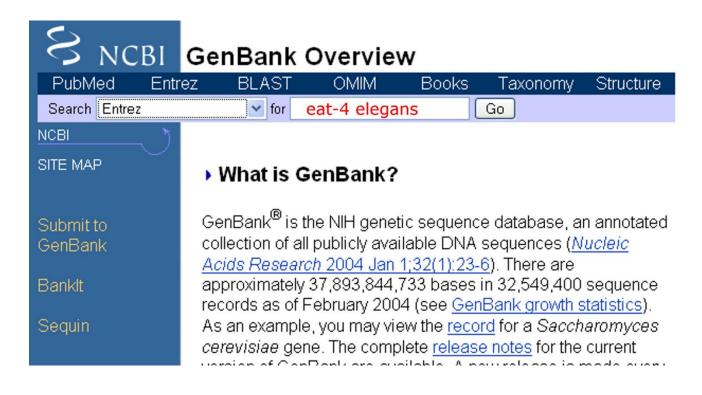
- * ID Fare clic qui per immettere testo.
- * Birth date Fare clic qui per immettere testo.
- * Birth location Fare clic qui per immettere testo.
- * Gender Fare clic qui per immettere testo.
- Other languages spoken Fare clic qui per immettere testo.
- * Relationship with other donors:
- Degree of relationship
- ID of related individual Fare clic qui per immettere testo.
- Sampling location Fare clic qui per immettere testo.
- Population subunit (Clan, Tribe, Cast) Fare clic qui per immettere testo.
- Religion Fare clic qui per immettere testo.
- Father birth location Fare clic qui per immettere testo.
- Mother birth location Fare clic qui per immettere testo.
- Paternal grandfather birth location Fare clic qui per immettere testo.
- Paternal grandmother birth location Fare clic qui per immettere testo.
- Maternal grandfather birth location Fare clic qui per immettere testo.
- Maternal grandmother birth location Fare clic qui per immettere testo.
- **Comments**

What is a Metadata Standard?

- A Standard provides a structure to describe data with:
 - Common terms to allow consistency between records
 - Common definitions for easier interpretation
 - Common language for ease of communication
 - Common structure to quickly locate information
- In search and retrieval, standards provide:
 - Documentation structure in a reliable and predictable format for computer interpretation
 - A uniform summary description of the dataset



Multiple Metadata Standards Exist: Examples



Homo sapiens isolate TAL966 D-loop, partial sequence; mitochondrial

```
LOCUS
          HO651672
                                    360 bp
                                             DNA linear PRI 12-JUN-2011
DEFINITION Homo sapiens isolate TAL966 D-loop, partial sequence;
           mitochondrial.
ACCESSION HQ651672
VERSION
          HO651672.1 GI:334866457
KEYWORDS:
SOURCE mitochondrion Homo sapiens (human)
 ORGANISM Homo sapiens
            Eukarvota; Metazoa; Chordata; Craniata; Vertebrata; Euteleostomi;
            Mammalia; Eutheria; Euarchontoglires; Primates; Haplorrhini;
           Catarrhini: Hominidae: Homo.
REFERENCE
           1 (bases 1 to 360)
  AUTHORS
           Coia, V., Destro-Bisol, G., Verginelli, F., Battaggia, C., Boschi, I.,
            Cruciani, F., Spedini, G., Comas, D. and Calafell, F.
  TITLE
            Brief communication: mtDNA variation in North Cameroon: lack of
           Asian lineages and implications for back migration from Asia to
            sub-Saharan Africa
  JOURNAL
          Am. J. Phys. Anthropol. 128 (3), 678-681 (2005)
   PUBMED
          15895434
REFERENCE
          2 (bases 1 to 360)
           Coia, V., Destro-Bisol, G., Verginelli, F., Battaggia, C., Boschi, I.,
  AUTHORS
           Cruciani, F., Spedini, G., Comas, D. and Calafell, F.
  TITLE
           Direct Submission
  JOURNAL
          Submitted (24-NOV-2010) Department of Animal and Human Biology,
           University La Sapienza, Rome, Italy
FEATURES
                    Location/Qualifiers
                    1..360
     source
```

GenBank: HQ651672.1

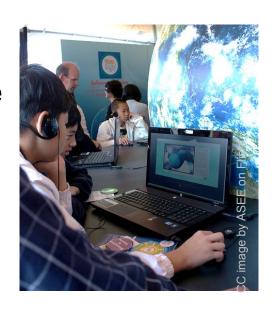
Go to: [V]

FASTA Graphics PopSet



What is the Value to Data Users?

- Metadata gives a user the ability to:
 - Search, retrieve, and evaluate data set information from both inside and outside an organization
 - Find data: Determine what data exists for a geographic location and/or topic
 - Determine applicability: Decide if a data set meets a particular need
 - Discover how to acquire the dataset you identified; process and use the dataset



Intelligibility

Audiences need to be able to make some judgment of what is communicated. ..to judge the nature of the claims...



BROWSE

PUBLISH

ABOUT

G OPEN ACCESS PEER-REVIEWED

RESEARCH ARTICLE

Genetic Variation and Population Structure in Native Americans

Sijia Wang 💀 Cecil M Lewis Jr. 👀 Mattias Jakobsson 🐼 Sohini Ramachandran, Nicolas Ray, Gabriel Bedoya, Winston Rojas, Maria V Parra, Julio A Molina, Carla Gallo, Guido Mazzotti, Giovanni Poletti, Kim Hill, [...], Andrés Ruiz-Linares [view all]

Published: November 23, 2007 • https://doi.org/10.1371/journal.pgen.0030185

Abstract

We examined genetic diversity and population structure in the American landmass using 678 autosomal microsatellite markers genotyped in 422 individuals representing 24 Native American populations sampled from North, Central, and South America. These data were analyzed jointly with similar data available in 54 other indigenous populations worldwide, including an additional five Native American groups. The Native American populations have lower genetic diversity and greater differentiation than populations from other continental regions. We observe gradients both of decreasing genetic diversity as a function of geographic distance from the Bering Strait and of decreasing genetic similarity to Siberians—signals of the southward dispersal of human populations from the northwestern tip of the Americas. We also observe evidence of: (1) a higher level of diversity and lower level of population structure in western South America compared to eastern South America, (2) a relative lack of differentiation between Mesoamerican and Andean populations, (3) a scenario in which coastal routes were easier for migrating peoples to traverse in comparison with inland routes, and (4) a partial agreement on a local scale between genetic similarity and the linguistic classification of populations. These findings offer new insights into the process of population dispersal and differentiation during the peopling of the Americas.

...make your findings accessible to a wide audience that includes both scientists and non-scientists.

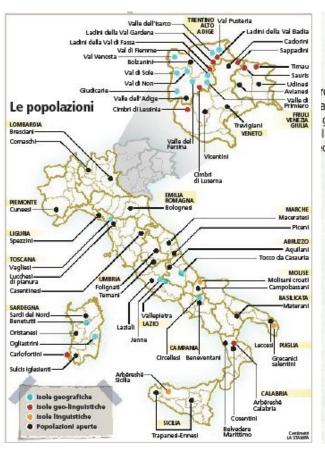
Author Summary

Studies of genetic variation have the potential to provide information about the initial peopling of the Americas and the more recent history of Native American populations. To investigate genetic diversity and population relationships in the Americas, we analyzed genetic variation at 678 genome-wide markers genotyped in 29 Native American populations. Comparing Native Americans to Siberian populations, both genetic diversity and similarity to Siberians decrease with geographic distance from the Bering Strait. The widespread distribution of a particular allele private to the Americas supports a view that much of Native American genetic ancestry may derive from a single wave of migration. The pattern of genetic diversity across populations suggests that coastal routes might have been important during ancient migrations of Native American populations. These and other observations from our study will be useful alongside archaeological, geological, and linguistic data for piecing together a more detailed description of the settlement history of the Americas.

e-pub ahead of print

Journal of Anthropological Sciences Vol. 92 (2014), pp. 201-231

Linguistic, geographic and genetic isolation: a collaborative study of Italian populations





di Giuliano Aluffi

UN POPOLO DI BIODIVERSI

bacino del Mediterraneo, uno

ad aver favorito la varietà del



Presentazione del progetto alle comunità

Continuazione della ricerca

Consenso Informato

Restituzione dei risultati

- •forma orale
- •forma scritta

Questions

- A. How data sharing may foster research progress or knowledge advance?
- B. Are there cons for data sharing?
- C. What data sharing tell us about the relation between ethics and science?

A. How data sharing may foster research progress?

Sharing broadens the scope and perspective of research

•Broadens Scope of Research Complexity of Science: when scientists share their expertise and/or the fruits of their research, the overall effort moves more quickly and to greater depths

- •Diversifies Perspective enlarge the pool of researchers who can work on a topic, it also is likely to increase the diversity of that pool
- Contributions from institutions having limited resources

A. How data sharing may foster research progress?

Sharing Allows Resources to Be Used More Efficiently

- •Reduces Costs—Both Money and Effort Risk of "excessive" duplication
- Maximizes Use of Data
 data sets too large to be fully explored
- •Reduces Subject Burden

 Human and animal...

A. How data sharing may foster research progress?

Sharing Enhances the Climate of the Scientific Community

Corrects Error of Analysis

misjudge the specificity of their assays, use inappropriate statistics, or fail to recognize a bias in their subject population: University Group Diabetes Program

Discourages Fraud and Enhances Confidence
 misconduct are less likely to occur
 Withholding suggests that one is trying to hide something

Promotes creativity

Favours a climate of openness and transparency cross-pollination of thoughts

B. Are there cons for data sharing?

Negative Career Impact

Need for Publications

Authors can expect to realize multiple publications from a resource, dataset...

Potential for Non-Replication

the recipient might not have the requisite skills and qualifications necessary to use the materials appropriately

Lack of Recognition and Increased Competition scientists are not recognized for sharing their resources and datasets (but they are for papers)

B. Are there cons for data sharing?

Limited Resources

Time and financial Cost

extra effort or money necessary for converting the data set or learning the software necessary for depositing data to a central repository

......to successfully deposit experimental data from approximately 30 microarrays into a data repository may take several weeks for a novice......

Personnel Required

Experienced personnel may be needed to prepare the material for distribution

Availability

Once investigators complete their work with a given resource there may be little reason to maintain the resource

Infrastuctures

Are reliable and maintained infrastructures (archives, repositories) available?

B. Are there cons for data sharing?

Data misuse

Misappropriation

...a geologist had experiences in which other scientists published data he had shared while he was still working on his own analysis

Misinterpretation

. . and if I don't have some relationship of trust then I don't know whether they're going to, you know, just go off and do something and never check with me to see, well, was this a good interpretation. . . .

Disregard of good faith practices

...incidents where deposited data had been 'cherry-picked' to make claims about the efficacy of certain products in marketing materials...

C. What data sharing tell us about the relation between ethics and science?

Alarming shift away from sharing results

There was the review of two H5N1 avian influenza virus studies in ferrets by the US National Science Advisory Board for Biosecurity in December 2011. The board

In December 2011 a bill was proposed to the US Congress to reverse the National Institutes of Health policy that all taxpayerfunded research should be freely accessible online (see go.nature.com/uvj68l). The bill's

Science should be available for evaluation by other scientists and for public scrutiny, just as it has been since Galileo's time. It should not be heading for epistemological suicide as a result of vested interests or a creeping loss of awareness of the theory of knowledge.

9 AUGUST 2012 | VOL 488 | NATURE | 157



POLICY FORUM

Benefits and Risks of Influenza Research: Lessons Learned

Anthony S. Fauci* and Francis S. Collins

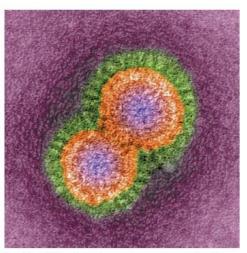


Fig. 1. H5N1 avian influenza virus particles, colored transmission electron micrograph. Magnification: ×670,000 when printed 10 cm wide.

two NIH-funded studies of H5N1transmissibility and pathogenesis in ferrets. In those studies, H5N1 viruses were made transmissible via respiratory droplets among ferrets by engineering the virus; well-described and published protocols including reverse genetics, reassortment, and passaging of viruses in mammals were used.

the research results could be used by bioterrorists to intentionally cause harm, or that an accidental release of a pathogen from a laboratory could inadvertently cause harm.

3 Ethical and Legal Issues

National Security

Government regulations may prohibit researchers from sharing some types of information or materials (e.g., pathogens, missile technology, encryption Software and even human genomes) because of security concerns

3 Ethical and Legal Issues

Subject Confidentiality

Privacy issues: it may not be possible to maintain the value of the data set while stripping the data of all personal identifiers

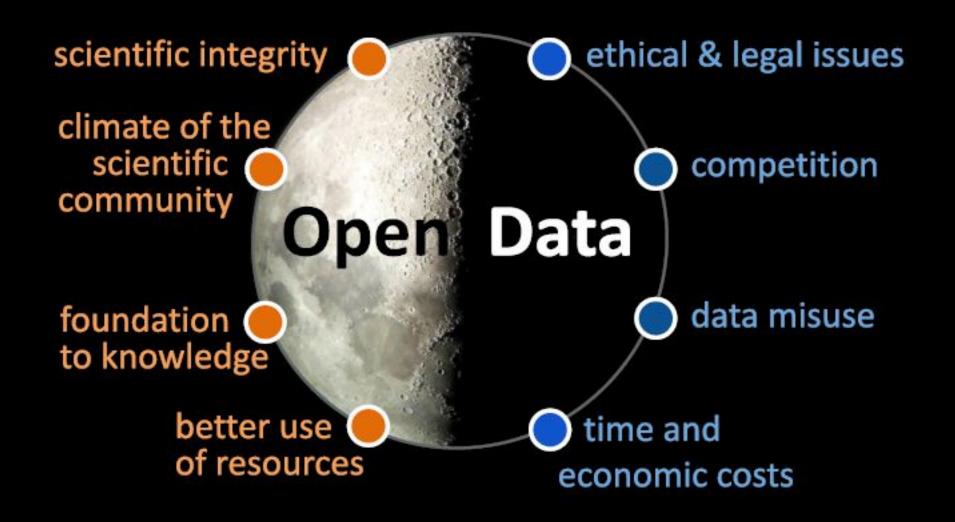
Identifying Personal Genomes by Surname Inference

Melissa Gymrek, 1,2,3,4 Amy L. McGuire, David Golan, Eran Halperin, 7,8,9 Yaniv Erlich **

Sharing sequencing data sets without identifiers has become a common practice in genomics. Here, we report that surnames can be recovered from personal genomes by profiling short tandem repeats on the Y chromosome (Y-STRs) and querying recreational genetic genealogy databases. We show that a combination of a surname with other types of metadata, such as age and state, can be used to triangulate the identity of the target. A key feature of this technique is that it entirely relies on free, publicly accessible Internet resources. We quantitatively analyze the probability of identification for U.S. males. We further demonstrate the feasibility of this technique by tracing back with high probability the identities of multiple participants in public sequencing projects.

SCIENCE VOL 339 18 JANUARY 2013

Pros & Cons



Openness is also...

